

CARMEL COLLEGE OF ARTS, SCIENCE & COMMERCE

FOR WOMEN, NUVEM – GOA

M.COM PART (SEMESTER-III) EXAMINATION, FEBRUARY 2022

COO334 : CAPITAL MARKETS AND STOCK EXCHANGE OPERATIONS

Duration: 3 Hours

Max Marks: 60

Instructions:

- 1. This paper consists of Nine Questions carrying equal marks.**
- 2. Question no.1 consists of 5 compulsory questions of 2 marks each.**
- 3. Answer any 5 questions from question 2, 3, 4, 5, 6, 7, 8 and 9.**
- 4. Each question carries 10 marks. Figures to the right indicate marks.**

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- 1. Write a short note on the following: 5X2 = 10M**
 - a) New Issue Market
 - b) Book Building Process
 - c) Funds settlement
 - d) Short selling
 - e) BOLT system
 - 2. Explain the Online and Offline Surveillance System in Stock Exchanges. (10)**
 - 3. Enumerate the defects in the working of Indian Stock Exchange. (10)**
 - 4. Do you think SEBI introduced crucial reforms in the secondary market? Elaborate. (10)**
 - 5. State and explain any FIVE requirements for listing of securities. (10)**
 - 6. Write a note on Risk Management System. (10)**
 - 7. Investors place buy and sell orders with the members of the stock exchange. Explain the various types of orders one could place. (10)**
 - 8. In the Bombay Stock Exchange, the shares are all settled in T+2 days. Explain the different types of settlement. (10)**
 - 9. Write a note on Stock Market Indices. (10)**
